

Form PTO-1449 (MODIFIED)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 033337-0109	SERIAL NO. 09/840,296
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		APPLICANT Lee Daniel FEINBERG et al. #5	
		FILING DATE 04/24/2001	GROUP ART UNIT 2633

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT CLASS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
PN		A1	5,764,405	Alphonsus			
		A2	5,912,761	Jander et al.			
		A3	5,926,263	Lynch et al.			
		A4	5,966,206	Jander			
		A6	5,969,833	Jensen			
		A6	6,025,949	Anderson			
		A7	6,028,684	Kidorf			
		A8	6,134,032	Kram et al.			
		A9	6,134,033	Bergano et al.			
		A10	6,137,604	Bergano			
		A11	6,211,985	Anderson			
		A12	6,327,250	Chen et al.			
		A13	6,304,351	Pedersen			
PN		A14	6,323,981	Jensen			

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
						YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

PN	A15	S. Namiki et al., Abstract, "Recent Advances in Ultra-Wideband Raman Amplifiers" Opto-technology Lab., pages FF-1-3, and "Fibre-DFB Laser WDM Array" from Tutorial Sessions, SN 34011, page 142.
PN	A16	P.K. Runge et al., "AT&T Optical Amplifier Systems" AT&T Bell Laboratories Pub., Pages 72-77.
PN	A17	Ravi V. Shankar et al., "Managing the Management Communications Network in Optical Transport Systems" in Bell Labs Technical Journal, Oct.-Dec., 1999, Pages 155-170.
PN	A18	N.S. Bergano, "Undersea Amplified Lightwave Systems Design" AT&T Laboratories Pub., Pages 302-335.
PN	A19	AT&T Technical Journal, "Undersea Communications Technology", AT&T Technical Journal, January/February 1995, Volume 74, Number 1,
PN	A20	C. de Maindreville et al., Submarine Network Management: Architectural Issues, "Abstract", Pages 573-580.
PN	A21	Bell Labs Technical Journal, October-December 1999, Pages 138-154
PN	A22	Ellen Brain et al., "Ten Years of Operating Light Wave Systems" Pages 203-209
PN	A23	I.P. Kaminow, "Optical Fiber Telecommunications IIIB", Pages 101-103.
PN	A24	N.S. Bergano et al., "Polarization Scrambling Improves SNR Performance in a Chain of EDFAs" in OFC 94 Technical Digest Pub., Pages 255-256
PN	A25	C.A. Siller, Jr. et al., "Sonet SDH - A SourceBook of Synchronous Networking", IEEE Communications Society, Pages 262-265

EXAMINER

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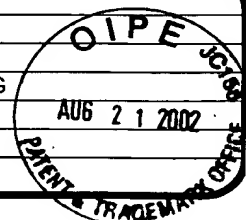
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: August 21, 2002 (use as many sheets as necessary)				Complete if Known	
Application Number		09/840,296			
Filing Date		04/24/2001			
First Named Inventor		Lee Daniel FEINBERG			
Group Art Unit		2633			
Examiner Name		Unassigned			
Attorney Docket Number		033337-0109			
Sheet	1	of	1		



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
<i>pu</i> <i>pu</i> <i>pu</i>	A1	5,982,517	A	FISHMAN	11/09/1999	
	A2	6,249,510	B1	THOMPSON	06/19/2001	
	A3	6,278,689	B1	AFFERTON et al.	08/21/2001	

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
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Examiner Signature		Date Considered	7/21/04
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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